

Detailed Violation Report Fact Sheet

Summary: Based on user-specified criteria, this report returns a list of violations with detailed information about the violation, facility and resulting enforcement. This report contains data from both CIWQS and SMARTS.

Using the Report (Navigation)

Location

This internal report is located under the “Violations” header in Run Reports.

Search Criteria

The search criteria are optional. You may choose to use any, all, or none of the criteria. If you don’t select anything, you will return all of the records.

Some search boxes are “pick lists.” They display several menu items and have a scroll bar on the right side. A pick list allows you to select multiple values. Hold down the Ctrl key and left click to select multiple values in random order. Hold down the Shift key and left click to select everything between two values. Hold down Ctrl and left click to deselect.

Other criteria are listed in dropdowns. To use a dropdown, click the upside down triangle on the right. A list of values will display. You can only select one.

Empty boxes are free text fields. You can type anything you want in the box. The searches use an implicit wildcard so if you type “SA” it will return San Francisco, Sacramento, and Colusa. The more specific you are, the more limited your search will be and fewer records will be returned.

The Party and Place gray buttons will take you to the Party and Place screens where you will be able to search for the discharger or facility as you would in the CIWQS module. Select the record you want using the hyperlink on the right. These search criteria will not search SMARTS records.

Date boxes are free text and require the format MM/DD/YYYY (e.g. 01/08/2007 for January 8, 2007). You can click on the calendar icon on the right to select a value with the mouse rather than typing it in.

The final gray button, which is called “Run Report,” starts the query.

Hyperlinks

Values that are blue and underlined are hyperlinks. Clicking on them will either route you to another location in CIWQS or the report or will open a new window.

The link “Home” at the top right of the report takes you to the CIWQS menu. The link “Reports” takes you to the Run Reports Menu.

The Report Heading displays the search criteria the user chose. The “back to search screen” takes the user back to the search screen with previously chosen values cleared.

The hyperlinks in the column headings allow you to sort the data based on that column.

The report displays 500 records. Click “Next 500” to look at more data. Click on the upside down triangle to select another range of records (i.e. 501-1000).

Search Criteria

- Regional Board
- County
- Violation type
- WDID
- Status
- Place
- Responsible Party
- Program
- Staff
- Start date
- End date

Report

- Regional Board
- Responsible Party
- Place
- WDID
- Violation ID
- Violation type
- Priority violation
- Program
- Status
- Date occurred (occurrence date)
- Violation description
- Comments
- Corrective Action
- Enforcement Action ID
- Enforcement Action
- Enforcement Action (EA) Order Number
- Enforcement Action (EA) Effective Date
- County
- Staff

This report can be downloaded into Excel by clicking the “Download Report” hyperlink.

For CIWQS, the Violation ID drills down into the CIWQS violation record and the Enforcement Action ID drills down into the Regulatory Measure At-A-Glance report for the enforcement action. This functionality does not exist for SMARTS data.

The Place Name links to the Facility At-A-Glance report.

How current is the data?

This report is on a nightly refresh schedule, which means that changes made in CIWQS or SMARTS will not be reflected until the next day. If the refresh fails, the data in the report will be stale. Please notify the CIWQS Help Center (866)-792-4977 or ciwqs@waterboards.ca.gov if you believe a report has not been refreshed.

Where is this information coming from?

Regional Board – this field comes from the place record linked to the violation. The Regional Water Board dropdown in the place record must be populated and a place must be linked under the Related Places Tab of the Violation record for this field to be populated. In SMARTS, this comes from the Site Info tab.

Responsible Party – this field in the report comes from the party linked to the violation record with the role of “Responsible Party.” In SMARTS, this is the Owner (CONSTW) and the Operator (INDSTW).

Place – the place field come from the violation’s Related Places Tab. The place must be a facility or child of facility, must be associated with a Regional Board, and have the role of “originating.” In SMARTS, the facility is found in the Name field under the Site Info tab. Place is required for the violation to show in the report.

WDID – the WDID comes from the linked regulatory measure. Not all violations are linked to regulatory measures so not all violations will have WDIDs. In SMARTS, the WDID is found in the header. Changing city, county, or region will update the leading numbers in the WDID automatically.

Violation ID – this is an auto-generated unique identifier. In CIWQS it comes directly from the Violation Module. In SMARTS, the Violation ID is found in the Violation tab. Violations from SMARTS display in the report with a leading “S.”

Violation type – this is a required field in the Violation Module. It is always populated in the report. In SMARTS, this is found in the Violation tab.

Priority violation – this is a check box in the Violation Module. If the check box is checked, it shows up as a Y on the report. If not, it shows as a N. In SMARTS, this is a dropdown found in the Violation tab.

Program – this comes from the violation record. In SMARTS, this is Business Type and a read-only field for staff.

Status – this is the violation status and comes directly from the Violation Module. In SMARTS, this is a dropdown found in the Violation tab.

Date occurred (occurrence date) – this is a required field in the Violation Module. In SMARTS, Occurrence Date is found in the Violation tab.

Violation description – this is a required field in the Violation Module. In SMARTS, this is found in the Violation tab.

Comments – this comes from the Violation Module, but is not required and often is blank. These are internal staff notes so a blank field is perfectly acceptable. There is no comment field in SMARTS.

Report Facts

- Internal staff and management report
- Updated nightly
- Downloadable to Excel
- Drills down into CIWQS records
- Displays linked enforcement action

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